feb o 8 day PTO/SB/21 (09-04) Approved for use through 07/31/2006, OMB 0651-0031
U.S. Petent end Tredemark Office; U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it displays a valid OMB control number. n Act of 1995, no persons are required to respond TRANSMITTAL Application Number 10/828,680 Filing Date April 21, 2004 **FORM** First Named Inventor Andrew G. Haerle Art Unit 2812 Examiner Name

(to be used for all correspond	dence after initial filing)	CVALIBLE LAGILE	UNASSIGNED				
Total Number of Pages in This	s Submission 5	Attorney Docket Number	1075-E	4283-DIV			
ENCLOSURES (Charle all that apply)							
Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement		Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Landscape Table on CD		After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  Form PTO-1449 (1 pg); Cited References (1); Return Receipt Post Card			
Document(s)  Reply to Missing Parts Incomplete Application Reply to Missi under 37 CFR	s/ on ing Parts	TOMER NO.: 3445	56				
	SIGNATURE (	OF APPLICANT, ATTO	DRNEY, C	OR AGENT			
Firm Name TOLER,	LARSON & ABEL,	LLP					
Signature	11/1/1/2			·			
Printed name Jeffrey S. Abel							
Date Z/.		Reg. No.	36,079				
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Signature	8.K.Dard	veux?		<del>_</del>			
	lise K. Dougherty	$\overline{}$		Date	2-3-05		

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### THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Andrew G. Haerle, et al.

Title: METHOD FOR TREATING SEMICONDUCTOR PROCESSING

COMPONENTS AND COMPONENTS FORMED THEREBY

Application No.: 10/828,680 Filed: April 21, 2004

Examiner: UNASSIGNED Group Art Unit: 2812

Atty. Docket No.: 1075-E4283-DIV

COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449
Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

- 1. an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention;
- 2. a representation that a search has been made, other than as described below; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that

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indicates the degree of relevance found by the foreign office.

## STATEMENT UNDER 37 C.F.R. § 1.704(d)

May 2	If the a 9, 2000	above-identified application is an original application filed on or after
		each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.
		FEES DUE
	This Ir	nformation Disclosure Statement is being filed:
		within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
		before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
		during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
		during the period specified in § 1.97(c). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal.
		during the period specified in § 1.97(d). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal. Additionally, each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.

Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account <u>50-2469</u>.

Respectfully submitted,

 $\frac{2/3}{6}$ 

Jeffrey S. Abel, Reg. No. 36,079

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PTC/SB/28A (08-03)

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Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/828,680			
Filing Date	04/21/2004			
First Named Inventor	Andrew G. Haerle			
Art Unit	2812			
Examiner Name	UNASSIGNED			
Attorney Docket Number	1075-E4283-DIV			

			U. S. PATENT	DOCUMENTS		
Initials* No.		Document Number Publication Da MM-DD-YYY		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	<u> </u>	Number-Kind Code <sup>2</sup> (F ktrosszt)			1 igaico / ippea.	
	Α	<sup>US-</sup> 6,296,716 B1	10/02/2001	Haerle et al.		
	В	<sup>US-</sup> 6,565,667 B2	05/20/2003	Haerle et al.		
	С	US-				
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		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (If known)	MM-DD-YYYY			L,
	Т	WO 02/09161 A2	01/31/2002	SG Ceramics & Plastics, Inc.		
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